



Your Old Republic Agent is:

BONDS ONLY, INC.

1515 CR 210 West ♦ Suite 211
Jacksonville, FL 32259
Toll Free Phone #: 800-576-8087

Protection for Pension & Welfare Plans!

Help Protect Plan Assets From Fraud

WHY AN ERISA BOND IS NEEDED:

The 1974 Employee Retirement Income Security Act was enacted by Congress to regulate employee benefit plans. This Act mandated that every fiduciary responsible

individual who handles the assets of such a plan, be covered by a fidelity bond to help protect the plan's assets from fraudulent activity.

AMOUNT OF BOND NEEDED:

It is recommended that you discuss with your counsel, plan administrator, and/or secure local ERISA compliance information regarding the amounts of coverage necessary for your plan.

PROTECTION AT COMPETITIVE RATES:

An ERISA bond from Old Republic Surety Group can provide protection and peace of mind at competitive rates. You will be provided with quick turnaround service and complete claim handling by an expert staff should the need arise.

SIZE AND STABILITY:

Old Republic Surety Group is rated "A" by A.M. Best, and is a subsidiary of Old Republic International Corporation, which is traded on the New York Stock Exchange under the symbol ORI.

EASE OF ORDERING:

Simply complete the application and mail it, along with your check for the bond premium, to the independent insurance agent listed above. Your bond will be promptly issued and returned.

PLEASE TYPE OR PRINT LEGIBLY

ERISA BOND APPLICATION

For a Pension Plan and/or Profit Sharing Trust (*Plan must be Defined Contribution to be processed*)

1. Legal name of Plan (see IRS Form 5500C):

2. Mailing Address:

3. Bond Amount: _____ Effective Date: _____
4. Total Plan Assets: \$ _____
5. Form of Coverage requested:
Discovery or Loss Sustained
6. Number of fiduciaries, administrators, officers, and employees: _____ (include all people having access or controlling the funds)
7. Has Applicant experienced any dishonesty losses in the past 6 years? Yes No (If yes, attach explanation)
8. Have you had previous ERISA coverage? Yes No
If Yes, list bond carrier: _____

INCLUDE ONLY FOR BONDS OVER \$100,000

9. Does Plan qualify for IRS tax deductions? Yes No
10. Attach a copy of your most current IRS Form 5500C.
11. Are two (2) signatures required on withdrawals and checks? Yes No (If no, attach explanation)
12. Are Plan accounts reconciled by someone not authorized to deposit or withdraw funds? Yes No
13. Plan Administrator: _____
Mailing Address: _____
14. Are separate corporate trust account(s) established for Plan assets? Yes No / Where are funds retained?

15. Are Plan assets professionally managed? By whom? Yes No

***Amount of Bond Premium enclosed:** _____

Dated this _____ day of _____, _____.

By: _____
(Name and Title)

(Signature)

ARKANSAS: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison."

COLORADO: "It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to

Regulatory Agencies."

DISTRICT OF COLUMBIA: "Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant."

FLORIDA: "Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree."

HAWAII: "For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both."

KENTUCKY: "Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime."

LOUISIANA: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison."

MAINE: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits."

NEW JERSEY: "Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties."

NEW MEXICO: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties."

NEW YORK: "Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false the information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation."

OHIO: "Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud."

PENNSYLVANIA: "Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties."

RHODE ISLAND: Insurer shall place on the application a warning which indicates the existence of a criminal penalty for failure to disclose a conviction for arson.

TENNESSEE: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits."

VIRGINIA: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits."